

Receipt

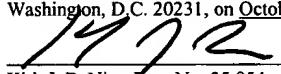
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

O I P E
P A T E N T & T R A D E M A R K O F F I C E
D E C 3 1 2 0 0 1
S C 1 8

In re Application)	<u>PATENT APPLICATION</u>
Inventor(s): David Gilbert, Vishwanath Shastry, David)	
Huntley and Joseph Valleta)	
SC/Serial No.: 09/837,650)	
Filed: April 18, 2001)	
Title: A METHOD, SYSTEM, COMPUTER AND COMPUTER READABLE MEDIUM) FOR IDENTIFYING A PREFERRED DEFINED CONTRIBUTION PLAN)	<u>Customer No. 28554</u>

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231, on October 25, 2001.


Kirk J. DeNiro, Reg. No. 35,854
Signature Date: October 25, 2001

(Attorney Signature)

RECEIVED
JAN 08 2002
Technology Center 2100

REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner For Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Dear Sir/Madam:

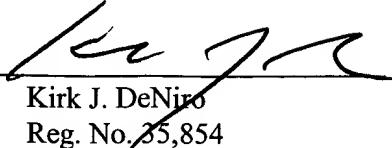
Please make the following correction to the Filing Receipt in the above-identified patent application:

The "Domestic Priority data as claimed by applicant" information is missing from the Filing Receipt. Under "Claim of Priority" in the application, it is stated, "The present application claims priority to U.S. Provisional Patent Application Serial No. 60/197,992, entitled, 'Method and System for Research, Analysis and Electronic Prioritization of Defined Contribution Benefit Plan Investments,' filed on April 18, 2000." Please correct the Filing Receipt accordingly.

There is no fee due with this communication.

Respectfully submitted,

Date: 10/25/01

By: 

Kirk J. DeNiro
Reg. No. 35,854

VIERRA MAGEN MARCUS HARMON & DENIRO LLP
685 Market Street, Suite 540
San Francisco, California 94105-4206
Telephone (415) 369-9660

FILE COPY



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 9124

SERIAL NUMBER 09/837,650	FILING DATE 04/18/2001 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. KONN-01000US0
-----------------------------	-----------------------------------	--------------	------------------------	---

APPLICANTS

David Gilbert, Emerald Hills, CA;
 Vishwanath Shastry, San Francisco, CA;
 David Huntley, Townson, MD;
 Joseph Valleta, Townson, MD;

** CONTINUING DATA *****

THIS APPLN CLAIMS BENEFIT OF 60/197,992 04/18/2000

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** 06/13/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY	SHEETS DRAWING	TOTAL CLAIMS	INDEPENDENT CLAIMS
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	CA	6	25	5
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

ADDRESS

28554

TITLE

Method, system, computer, and computer readable medium for identifying a preferred defined contribution plan

FILING FEE RECEIVED 545	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
----------------------------	---	---